

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Alexander G. MacInnis, et al.

U.S. Serial No.: 09/437,580

Filed: November 9, 1999

For: GRAPHICS DISPLAY SYSTEM
WITH WINDOW SOFT
HORIZONTAL SCROLLING
MECHANISM

Examiner: Nguyen, Kevin M.

Group Art Unit: 2629

Confirmation No.: 8182

ELECTRONICALLY FILED
On August 13, 2007

**LITIGATION STATEMENT PURSUANT TO MPEP § 2001.06(C) and INFORMATION
DISCLOSURE STATEMENT**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The owner of record of the present application, Broadcom Corporation, is currently involved in a patent infringement action with Qualcomm, Inc., Case No. 06-CV-0660 B, pending in the Southern District of California.

Pursuant to MPEP 2001.06(c), the Applicants would like to bring to the attention of the U.S. Patent and Trademark Office that the subject matter currently being claimed in the present application may be related to at least one of the patents currently asserted by Broadcom in the above-mentioned lawsuit.

The Applicants have also attached with this electronic submission a completed PTO form PTO/SB/08A which has six (6) pages. Copies of each printed reference listed in the PTO/SB/08A form are attached with this electronic submission. Applicants, however, have not submitted U.S.

Patents or other references previously provided to or by the PTO in this application. Fifty-seven (57) references are attached in this electronic submission.

The references being submitted have been either cited, produced or relied upon by Qualcomm thus far during the above-mentioned lawsuit. This submission is in no way intended as an admission that the submitted references constitute prior art under any subsection of 35 U.S.C. §102 or §103. Applicant expressly retains the right to argue that any of the cited references are not indeed prior art or to take any actions necessary to remove any of the cited references from the available prior art.

The Examiner is requested to initial the attached PTO/SB/08A and return one copy to the applicants to indicate consideration of the attached references.

A fee in the amount of \$180 is believed to be due because:

- * The applicant(s) believe(s) that this statement and enclosures are being filed after the first Office action on the merits has been mailed by the PTO. The basis of this belief is that an Office action on the merits appears to have been received by the undersigned to date.

The Commissioner is hereby authorized to charge any fees which are presently required, or credit any overpayment, to Deposit Account No. 13-0017.

Respectfully submitted,

Date: August 13, 2007

/phs/
Philip Henry Sheridan
Reg. No. 59,918

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/437,580
				Filing Date	November 9, 1999
				First Named Inventor	A. MacInnis
				Group Art Unit	2629
				Examiner Name	Nguyen, Kevin M.
				Attorney Docket Number	17426US01
Sheet	1	of	6		

U.S. PATENT DOCUMENTS					
Examiner Initial*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
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	A2	4,367,466	01-04-1983	Takeda et al.	
	A3	4,532,547	07-30-1985	Bennett	
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	A6	4,954,970	09-04-1990	Walker et al.	
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	A21	5,432,900	07-11-1995	Rhodes et al.	
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	A38	5,621,869	04-15-1997	Drews	
	A39	5,621,906	04-15-1997	O'Neill et al.	

EXAMINER SIGNATURE	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1800-786-9199) and select option 2.

Rev. Sept. 03

J:\OPEN\phs\Broadcom (1772)\Qualcomm\Litigation Statement & IDS Project\San Diego IV Litigation Statement & IDS\Part 2 - IDS & Litigation Statements\Fee\17426US01\17426US01-PTOSB08A.doc

Substitute for form 1449A/PTO		Complete if Known	
		Application Number	09/437,580
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary))		Filing Date	November 9, 1999
		First Named Inventor	A. MacInnis
		Group Art Unit	2629
		Examiner Name	Nguyen, Kevin M.
		Attorney Docket Number	17426US01
Sheet	2	Of	6

U.S. PATENT DOCUMENTS					
Examiner Initial*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A40	5,625,611	04-29-1997	Yokota et al.	
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	A42	5,635,985	06-03-1997	Boyce et al.	
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	A50	5,719,593	02-17-1998	De Lange	
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 3 Of 6

Complete if Known

Application Number	09/437,580
Filing Date	November 9, 1999
First Named Inventor	A. MacInnis
Group Art Unit	2629
Examiner Name	Nguyen, Kevin M.
Attorney Docket Number	17426US01

U.S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)			
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	A86	5,959,626	09-28-1999	Garrison et al.	
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EXAMINER

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Sheet 4 Of 6

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Application Number	09/437,580
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First Named Inventor	A. MacInnis
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		Number-Kind Code ² (if known)			
	A130	6,184,908	02-06-2001	Chan et al.	
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	A151	6,337,703	01-08-2002	Konar et al.	
	A152	6,342,892	01-29-2002	Van Hook et al.	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	B1	EP 0746116 A2	12-04-1996	Hiratsuka		
	B2	EP 0 752 695	01-08-1997	O'Sullivan		
	B3	EP 0840276 A2	05-06-1998	Benbassat		
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	B5	EP 0 840 505	05-06-1998	Chauvel		
	B6	GB 2287627 A	03-01-1995	Wong		
	B7	WO 94/10641	05-11-1994	Mical		
	B8	WO 00/28518	05-18-2000	MacInnis		

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		Examiner Name	Nguyen, Kevin M.
Attorney Docket Number	17426US01		
Sheet	5	Of	6

OTHER ART -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	Plaintiff and Counterdefendant Qualcomm Incorporated's Preliminary Invalidity Contentions For U.S. Patent 6,501,480 and 6,570,579
	C2	Thomas Porter & Tom Duff, "Compositing Digital Images", Computer Graphics, Vol. 18, No. 3, July 1984, pp. 253-259
	C3	Akeley, K. & Jermoluk T., "High-Performance Polygon Rendering," 22 Computer Graphics No. 4 (ACM August 1988).
	C4	Apgar, B., et al., "A Display System for the Stellar Graphics Superconductor Model GS1000," 22 Computer Graphics No. 4 (ACM August 1988).
	C5	Asai, M.D., et al., "Novel Architecture for a High Performance Full Custom Graphics Processor," (IEEE 1989).
	C6	Awaga, M., et al., "3D Graphics Processor Chip Set," (IEEE December 1995).
	C7	Cox, M. & Bhandari, N., "Architectural Implications of Hardware-Accelerated Bucket Rendering on the PC," (Association for Computing Machinery SIGGRAPH/Eurographics Workshop 1997).
	C8	Cyrix Corporation Manual, copyrighted 1996, 1997, and all underlying development work.
	C9	Deering, M., et al., "The Triangle Processor and Normal Vector Shader: A VLSI System for High Performance Graphics," 22 Computer Graphics No. 4 (ACM August 1988).
	C10	Donovan, W., et al., "Pixel Processing in a Memory Controller," (IEEE Computer Graphics and Applications 1995).
	C11	Dutton, T., "The Design of the DEC 3000 Model 500 AXP Workstation," (IEEE 1993).
	C12	Foley, James, D., et al., "Introduction to Computer Graphics," (Addison-Wesley Publishing Co. 1994) ("Introduction to Computer Graphics").
	C13	Galbi, D., et al., "An MPEG-1 Audio/Video Decoder with Run-Length Compressed Antialiased Video Overlays," (1995 IEEE International Solid-State Circuits Conference).
	C14	Glaskowsky, Peter, "Cyrix Creates Ultimate CPU for Games," Microprocessor Report, December 8, 1997.
	C15	Glaskowsky, Peter, "Intel Displays 740 Graphics Chip: Auburn Sets New Standard for Quality - But Not Speed," Microprocessor Report No. 2, February 16, 1998.
	C16	Gwennap, L., "Verite: A Programmable 3D Chip: Rendition Uses Internal RISC CPU for Versatility, Performance," 10 Microprocessor Report No. 6, May 6, 1996.
	C17	Hosotani S., et al., "A Display Processor Conforming to all DTV Formats with 188-TAP FIR Filters and 284 Kb FIFO Memories," (IEEE 1997 (Manuscript received June 13, 1997)).
	C18	Knittel, G. & Straber, W., "VIZARD - Visualization Accelerator for Realtime Display," (Association for Computing Machinery SIGGRAPH/Eurographics Workshop 1997).
	C19	Lewis, R., et al., "Delivering PCI in HP B-Class and C-Class Workstations: A Case Study in the Challenges of Interfacing with Industry Standards," (Hewlett-Packard Journal May 1998).
	C20	Manepally, R. & Sprague, D., "Intel's i750 (R) Video Processor -- The Programmable Solution," (IEEE 1991).
	C21	McCormack, J., et al., "Neon: A Single-Chip 3D Workstation Graphics Accelerator," (SIGGRAPH Eurograph Workshop Graph Hardware August 31, - Sept. 1, 1998).
	C22	Norrod, F. & Wawrzynak, R., "A Multimedia-Enhanced x86 Processor, Digest of Technical Papers," ISSCC96 (February 9, 1996).
	C23	Oguchi, T., et al., "A Single-Chip Graphic Display Controller," (1981 IEEE International Solid-State Circuits Conference).
	C24	Soferman, Z., et al., "Advanced Graphics Behind Medical Virtual Reality: Evolution of Algorithms, Hardware, and Software Interfaces," 86 Proceedings of the IEEE No. 3 (IEEE March 1998 (Manuscript received July 3, 1997)).
	C25	Scott, N., et al., "An Overview of the VISUALIZE fx Graphics Accelerator Hardware," (Hewlett-Packard Journal May 1998) and all underlying development work ("HP").
EXAMINER		DATE CONSIDERED

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(use as many sheets as necessary)

Sheet 6 Of 6

Complete if Known

Application Number	09/437,580
Filing Date	November 9, 1999
First Named Inventor	A. MacInnis
Group Art Unit	2629
Examiner Name	Nguyen, Kevin M.
Attorney Docket Number	17426US01

OTHER ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
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